

# Testing of the data access layer and the database itself

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# Prolog

Vineta Arnicane, Guntis Arnicans, Girts Karnitis  
**DigiBrowser as a tool for testers**

is replaced by

Vineta Arnicane and Guntis Arnicans  
**Testing of the data access layer and the  
database itself**

# Database application testing

- «A **database application** is a computer program whose primary purpose is **entering and retrieving information from a computerized database**» (Wikipedia)
- Tons of applications are developed
  - How do developers perform testing?
  - Is adequate attention granted to testing of database itself and interactions with it?

# Typical testing approach

- Approach:
  - take an application as a black box software
  - design test cases according to formal or informal specification
  - [prepare database initial state]
  - run test cases
  - verify results (software behavior)
- It is a black box testing!
  - sometimes testers have no access to source code
  - sometimes testers have no programming skills or they are not IT specialists at all

# Database testing in Wikipedia

- Term «database testing» is not too popular (the Wikipedia article is created 5 December 2011)
- There are many interpretations what this term means (Wikipedia does not provide a clear definition at all)
- «It is important to test in order to obtain a database system which satisfies the ACID properties (Atomicity, Consistency, Isolation, and Durability) of a database management system»
- «Database testing usually consists of a layered process, including the user interface (UI) layer, the business layer, **the data access layer and the database itself**»

# What is database testing? I

Source: <http://testsoftwarefaq.blogspot.com/2014/02/database-testing-faq.html>

- Database testing involves some in depth knowledge of the given application and requires more defined plan of approach to test the data
- Key issues include:
  - 1) Data integrity
  - 2) Data validity
  - 3) Data manipulation and updates
- Tester must be **aware of the database design concepts and implementation rules**

# What is database testing? II

Source: <http://testsoftwarefaq.blogspot.com/2014/02/database-testing-faq.html>

- Database testing is all about testing:
  - ✓ joins,
  - ✓ views,
  - ✓ imports and exports,
  - ✓ testing the procedures,
  - ✓ checking locks,
  - ✓ indexing,
  - ✓ etc.
- It's not about testing the data in the database (!)
- Usually database testing is performed by DBA (!)

# What is database testing? III

Source: <http://testsoftwarefaq.blogspot.com/2014/02/database-testing-faq.html>

- Database testing basically includes the following:
  - 1) Data validity testing
  - 2) Data integrity testing
  - 3) Performance related to data base
  - 4) Testing of procedure, triggers and functions
- For doing data validity testing **you should be good in SQL queries**
- For data integrity testing you **should know about referential integrity and different constraints**
- For performance related things you **should have idea about the table structure and design**
- For testing procedures, triggers and functions you should be able to understand the same



# Using of SQL statements in database testing

Source: <http://testsoftwarefaq.blogspot.com/2014/02/database-testing-faq.html>

- **The most important statement for database testing is the SELECT statement**, which returns data rows from one or multiple tables that satisfy a given set of criteria
- **You may need to use other DML** (Data Manipulation Language) statements like INSERT, UPDATE and DELETE to manage your test data
- **You may also need to use DDL** (Data Definition Language) statements like CREATE TABLE, ALTER TABLE, and DROP TABLE to manage your test tables
- **You may also need to some other commands** to view table structures, column definitions, indexes, constraints and stored procedures

# Types of database testing

- **Structural testing**
  - Schema
  - Database elements - tables, columns
  - Default values for a column
  - Data invariants for a single column
  - Data invariants involving several columns
  - Referential integrity rules
  - Stored procedures/functions
  - Triggers
  - Views testing
  - Constraints
  - Database server validations
  - Existing data quality
- **Functional database testing**
  - Checking data integrity and consistency
  - Login and user security
  - Incoming data values
  - Outgoing data values (from queries, stored functions, views ...)
- **Non-functional testing**
  - Load testing
  - Stress testing

# Database functional testing tasks

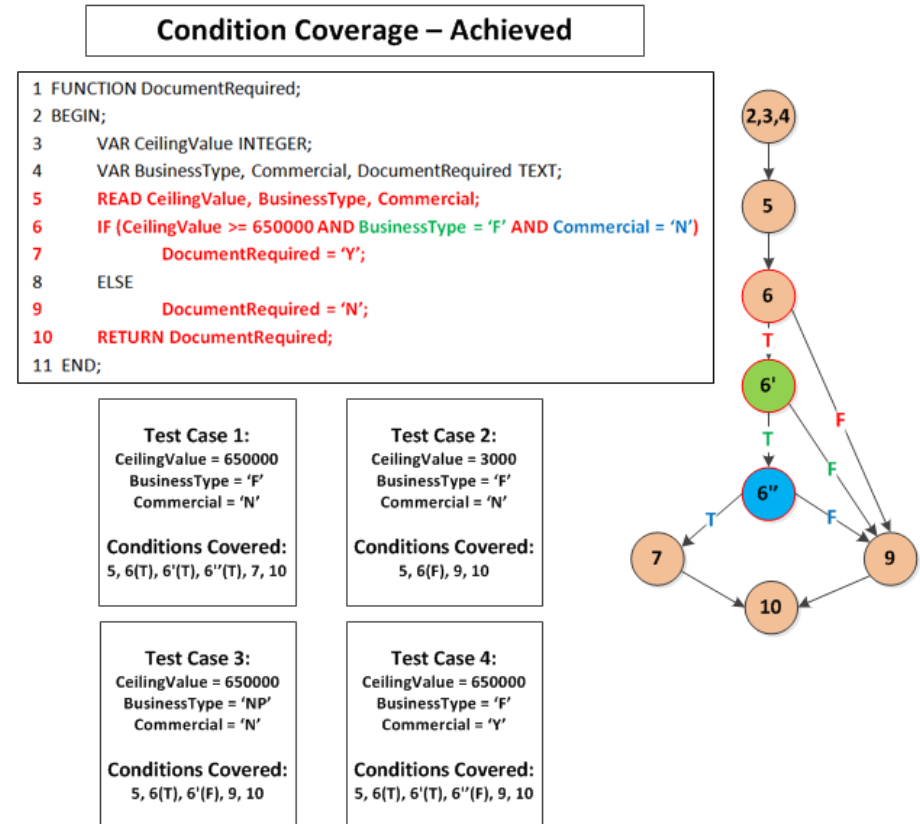
- **Database initialization** - put database into a known state before running tests to make sure that the tests will be executed correctly
- **Functional testing** - check that the application operates with the database correctly
- **Data verification** - check the structure and the actual content of a database

# Necessity for white box testing

- Black box testing **cannot reveal all defects** in software
- White box testing **allows to find specific problems** and to evaluate a testing quality by exploiting various testing **coverage metrics**
- But it **requires good IT skills** and understanding what we can do and what we cannot, and how many resources it takes

# Software testing coverage (ISTQB)

- statement coverage
- branch coverage
- condition coverage
  - decision condition coverage
  - multiple condition coverage
- decision coverage
  - modified condition / condition coverage (MC/DC)
- LCSAJ coverage
- path coverage
- N-switch coverage
- boundary value coverage
- equivalence partition coverage
- data flow coverage



Source: <http://www.seguetech.com/blog/2014/06/13/how-much-test-coverage-enough-testing-strategy>

# SQL statement itself is like a program

```
select e.company, c.city, sum(e.salary)/count(e.id) as avg_salary  
  from employees e, cities c  
  where e.city_id = c.id and e.age > 30 and e.company like «%Microsoft%»  
  group by company, city  
  order by avg_salary desc  
  having avg_salary < 2000
```

# SQL statement itself is like a program

```
select e.company, c.city, sum(e.salary)/count(e.id) as avg_salary  
  from employees e, cities c  
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  group by company, city  
  order by avg_salary desc  
  having avg_salary < 2000
```

- Is it enough to «cover» this statement only with one test case?
- No, of course!
- Wait! What is a test case?
- This statement maybe depend on input data or maybe do not. It also depends on a database state while its executing

# SQL statement itself is like a program

```
select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
from employees e, cities c, companies m
where e.city_id = c.id and e.company_id = m.id and
    e.age > 30 and m.company like «%Microsoft%»
group by company, city
order by avg_salary desc
having avg_salary < 2000
```

- Mistakes using table identifiers (letters):
  - ✓ It is easy use wrong letter (**cities c, companies m**)
  - ✓ wrong table for record counting (**c.id** or **m.id** instead of **e.id** in **count(e.id)**)



# SQL statement itself is like a program

```
select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
from employees e, cities c, companies m
where e.city_id = c.id and e.company_id = m.id and
    e.age > 30 and m.company like «%Microsoft%»
group by company, city
order by avg_salary desc
having avg_salary < 2000
```

- Wrong conditions:
  - ✓ e.age >= 30, e.age < 30, e.age > 20, e.age > 40, e.age > 60
  - ✓ wrong operator **and**, **or**, **not** (e.g. **not like**)
  - ✓ subcondition is not included into parenthesis
  - ✓ Upper/lower letters (**M**icrosoft, **M**icrosoft)
  - ✓ Whole word (**M**icrosoftware, Super**M**icrosoft)

# SQL statement itself is like a program

```
select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
from employees e, cities c, companies m
where e.city_id = c.id and e.company_id = m.id and
        e.age > 30 and m.company like «%Microsoft%»
group by company, city
order by avg_salary desc
having avg_salary < 2000
```

- Additional conditions (having):
  - ✓ avg\_salary <2000
  - ✓ avg\_salary <=2000
  - ✓ avg\_salary >2000
  - ✓ avg\_salary <3000

# SQL statement itself is like a program

```
select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
from employees e, cities c, companies m
where e.city_id = c.id and e.company_id = m.id and
    e.age > 30 and m.company like «%Microsoft%»
group by company, city
order by avg_salary desc
having avg_salary < 2000
```

- Aggregation functions:
  - ✓ Is it possible that **count**(e.id) returns 0?
  - ✓ Maybe e.salary has different currencies in different records?

# SQL statement itself is like a program

```
select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
from employees e, cities c, companies m
where e.city_id = c.id and e.company_id = m.id and
    e.age > 30 and m.company like «%Microsoft%»
group by company, city
order by avg_salary desc
having avg_salary < 2000
```

- Join problems in where clause:
  - ✓ NULL values in fields (e.city\_id, e.company\_id)
  - ✓ inner, left or right join
  - ✓ missed records (database integrity is broken)
  - ✓ excessive records (duplicates) cause wrong result

# SQL statement itself is like a program

```
select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
from employees e, cities c, companies m
where e.city_id = c.id and e.company_id = m.id and
    e.age > 30 and m.company like «%Microsoft%»
group by company, city
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```

- SQL SELECT statement has many commands/functions/options
- Different DBMS use different SQL standards with «specific features»
- For adequate SQL statement testing we need:
  - ✓ various database states (deciding what data we need; generating needed records, initializing database for each «test case»)
  - ✓ oracle for evaluating execution result

# Coverage criteria for testing SQL queries

- Good criteria helps to create needed database states and optimize number of states
- Only a few groups of researchers worked on this issue
- Criteria are developed for the most popular cases
- Most of testers do not know about criteria
- There are no well-described cases of usage in industry
- Automation or tool support is weak

# Principles of criteria (JOIN)

**from** employees e, cities c, companies m

**where** e.city\_id = c.id **and** e.company\_id = m.id

- We need database states for «e.city\_id = c.id» where
  - ✓ no records in both tables
  - ✓ no records in one table
  - ✓ city\_id has NULL and not NULL values
  - ✓ exactly one matching
  - ✓ many matchings (usual number, large number)
  - ✓ no matchings
  - ✓ combinations of previous requirements
- Condition coverage for e.city\_id = c.id **and** e.company\_id = m.id  
FF, FT, TF, TT
- Combinations of both mentioned groups

# Principles of criteria (condition)

e.age > 30 **and** m.company **like** «%Microsoft%»

- We need database states for «e.age > 30» where
  - ✓ no matchings
  - ✓ exactly one matching
  - ✓ many matchings (usual number, large number)
  - ✓ combinations of previous requirements
- Condition coverage for e.age > 30 and m.company like «%Microsoft%»  
FF, FT, TF, TT
- Combinations of both mentioned groups



# Principles of criteria (other)

**group by** company, city

**order by** avg\_salary **desc**

**having** avg\_salary < 2000

- For each clause we can define similar coverage requirements

# Dynamically created SQL statement

```
«select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
  from employees e, cities c, companies m
  where e.city_id = c.id and e.company_id = m.id and
         e.age > » + ageVar + « and m.company like '%» + companyVar + «%'
  group by company, city
  order by avg_salary desc
  having avg_salary < » + salaryVar
```

- Symbolic execution can help us to obtain all or significant part of all possible SQL SELECT statements
- If input values goes from database or user, then number of variants can be infinite

# Mutation testing

```
select m.company, c.id, c.city, sum(e.salary)/count(e.id) as avg_salary
from employees e, cities c, companies m
where e.city_id = c.id and e.company_id = m.id and
    e.age > 30 and m.company like «%Microsoft%»
group by company, city
order by avg_salary desc
having avg_salary < 2000
```

- Make a mutation of the original statement, e.g. e.age >= 30
- Execute all test cases
- If all results are the same as for the original statement, then test suite is not adequate
- Do these steps for all possible mutations

# Other SQL statements

- Insert
  - Delete
  - Update
  - Etc.
- 
- SELECT is read only operation
  - Most of other statements change database schema or data
  - **We need to verify changes in the database!**

# Conclusions

- Database testing problem is hard and huge resource consuming
- Nor researchers, nor practitioners have solutions for adequate database testing
- A lot of theoretical results are unknown for practitioners
- There are not many tools supporting at least part of database testing activities
  - Most of tools are developed in universities and are not maintained

# Epilogue

- DigiBrowser is[/was] a tool that can help relational database exploring un data inspecting more easily then other data browsers
- DigiBrowser does not require writing SQL queries and can by used by non-IT specialists

Thanks!